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# Microstructured Terahertz Waveguides

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## Abstract

Research involving the terahertz (THz) part of the electromagnetic spectrum, commonly taken to be the region between 0.1 and 10 THz (3 mm to 30  $\mu\text{m}$ ), has seen rapid growth in recent years because of the importance of THz radiation as a low energy probe of the optical properties and dynamics of matter and partly because of emerging real world applications in areas as diverse as industrial quality control, biosensing and security screening. Despite a vigorous growth in THz technology, many components and processes taken for granted at higher and lower frequencies are still in an early stage of development. One example of this is the use of waveguides to transport or spatially confine radiation. In this review we summarise progress in developing THz waveguides, paying attention to the role that microstructuring on a sub-wavelength length scale can play in engineering new capability and the various trade-offs between loss, bandwidth, group velocity dispersion and spatial confinement.

Key words: Terahertz, far-infrared, waveguide, transmission line, photonic crystal, microstructure, optical fiber, near field, metamaterial, plasmonics

(Some figures may appear in colour only in the online journal)

## 1. Introduction

Interest in the far-infrared region of the electromagnetic spectrum, which lies between microwaves and the mid-infrared, has witnessed a renaissance over the last twenty years, as reflected by its rebranding as the terahertz (THz) part of the spectrum. The development of technology for accessing this spectral band is strongly motivated by applications in fundamental science and the quest for higher bandwidth signal processing and new imaging and sensing modalities [1]. Examples of established or emerging applications include the characterization of the optical and dynamical properties of materials [2,3], medical imaging [4], pharmaceutical quality control [5], communications [6] and security screening [7]. The application to sensing is another important example. Many organic molecules, for example those in plastic explosives [6], have characteristic vibrational modes at THz frequencies, whilst important biomolecules such as proteins and nucleic acids have structurally sensitive broadband dielectric responses. Perhaps the best known application of the latter property is label free sensing of DNA hybridization with immobilised probe molecules by means of the change in THz absorption and refractive index [8].

The growth in scientific applications at THz frequencies has been driven in no small part by the parallel development of ultrafast optical and opto-electronic techniques for generating and coherently detecting THz radiation and stable, easy to use femtosecond lasers. The usual operating range of these techniques, collected under the umbrella name Time Domain Terahertz Spectroscopy (TDS), lies in the range 0.1 to 5 THz [9]. This range is sometimes used to describe the THz spectral window although increasingly, the same sort of techniques are being deployed at frequencies which overlap the mid-infrared, aided by the development of ever shorter pulse lasers and all-optical generation and detection techniques. In the last few years, however, it has become clear that cryogenically cooled quasi-CW (continuous wave) quantum cascade lasers (QCLs), originally developed in the mid-infrared, but now engineerable with frequencies down to ~2 THz and with peak pulsed powers of up to 1 W [10,11], will also play an important role in the eventual translation of THz applications from the research lab to the real world. An impediment to the wider use of all the above technologies is their size and cost but new technologies are continually being explored and for some applications, at the lower frequency end of the THz band, cheaper more compact solutions based on photomixing [12], multimode semiconductor lasers [13] or short pulse semiconductor lasers [14] might suffice.

Although techniques for generating and detecting THz radiation have advanced considerably in the last decade or so, the development of the associated optical components that one needs to be able to perform many of the measurements that are taken for granted in other spectral ranges has not developed as quickly. Examples are high performance polarisers, modulators, waveplates, detector arrays and waveguides. In this review we attempt to give an overview of the varied work on developing the latter class of component, with an emphasis on the role that microstructuring can play in engineering desirable properties. For completeness we also hint at their applications and summarise the status of what might be thought of as more conventional structures such as the hollow metal tube and parallel plate waveguide because these can be adapted or improved by the addition of sub-wavelength-scale features such as surface texturing or thin dielectric coatings.

The scale invariance of Maxwell's equations suggests that, if dispersion of the optical constants of materials can be ignored, successful waveguide concepts at optical and microwave frequencies should be translatable to the THz region. However, the practicality of so doing is constrained by the fact that whilst at microwave frequencies, metals behave like perfect conductors and at optical frequencies there are many excellent low loss dielectrics, this is not the case at THz frequencies where metals are lossy and only high resistivity single crystal silicon stands out as a low absorption material ( $<0.05 \text{ cm}^{-1}$  over most of the THz band [15]). The desire for broadband operation (partly for the ubiquitous pulsed techniques which also require low dispersion) leads to even greater challenges because the desired spectral bandwidth often spans many octaves.

A large number of waveguide concepts are currently being explored, including hollow metal tubes, planar transmission lines, metamaterial surfaces and microstructured optical fibers. This variety reflects the wide range of possible applications such as spectroscopy, endoscopy, near field imaging, plasma diagnostics, on-chip biosensing and high speed interconnects. Different applications generally have different requirements, which might involve several properties chosen from a list including flexibility, small size, low loss, high bandwidth, strong spatial confinement and low dispersion. The ideal properties are sometimes mutually exclusive so that compromises must be found and this is aided by the range of possible approaches on offer.

Although they are well known, it is worth saying a little about the experimental techniques and theoretical approaches used in the characterization of THz waveguide. The experimental

tool of choice is broadband TDS in which pulsed THz radiation is generated by femtosecond laser pumping of nonlinear optical crystals or biased photoconductive antennas [8,9]. Coherent detection is achieved by means of a time delayed probe pulse which is used to sample the THz field in an electro-optic crystal or a photoconductive antenna. The term coherent used here means that the electric field is measured as a function of pump-probe delay rather than the intensity. The spectral amplitude and phase of the field can then be obtained by Fourier transformation of the time domain signal. Figure 1a shows a typical quasi-optical setup for studying waveguides. In some experiments it is convenient to replace the lens before the waveguide by a compact transmitter such as a ZnTe crystal [16] or a photoconductive array [17] or to replace the standard linearly polarised transmitter by one with radial polarisation [18]. A waveguide transmission measurement, together with a reference measurement obtained by removing or (preferably) cutting back the waveguide, can be used to obtain the waveguide attenuation  $\alpha(\omega)$ , propagation constant  $\beta(\omega)$  and, by differentiation, the group velocity ( $v_g$ ) dispersion (GVD),  $\beta_2 = d(1/v_g)/d\omega = d^2\beta/d\omega^2$  which typically has units of ps/THz/cm.

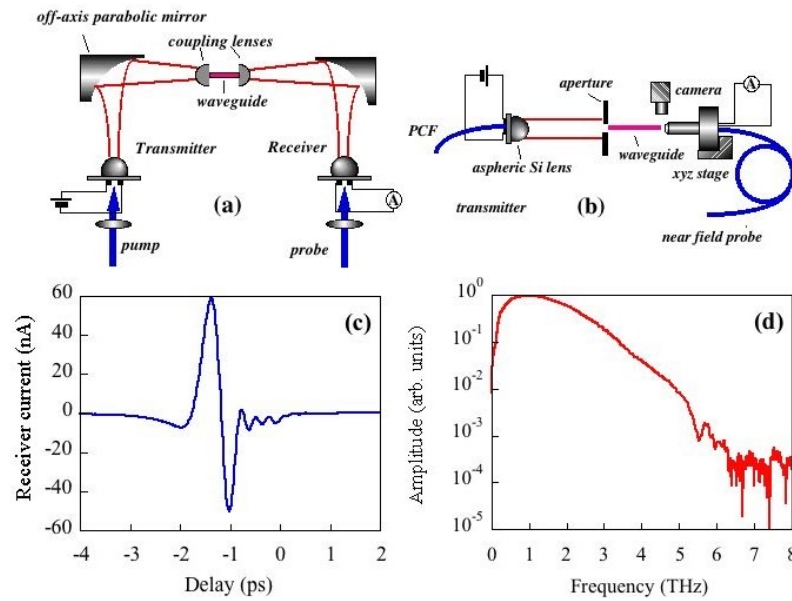


Fig. 1: (a) Typical time domain spectrometer setup using photoconductive transmitters and receivers adapted for studying waveguides. Pump and probe beams derived from the same femtosecond laser and having a variable time delay between them are used to excite the transmitter and gate the receiver respectively. (b) Example of modification to allow near field waveguide imaging at the exit face of a waveguide. A photoconductive transmitter and near field probe are coupled to a femtosecond laser using photonic crystal fiber (PCF) to allow free positioning [21]. (c) Typical time domain reference signal in setup (a). (d) is the amplitude spectrum of (c) and shows up to 5 THz of potentially useful bandwidth. (colour online)

A typical time domain trace and its amplitude spectrum (without waveguide) are shown in figures 1c and 1d respectively. The basic setup is often modified to allow near field imaging of the waveguide output, for example using the configuration shown in figure 1b. Such imaging can give detailed information about mode structure and mode coupling [16]. The near field probe in figure 1b might consist of a thin electro-optic crystal with tightly focused probe beam [19], a photoconductive antenna, with or without an integrated aperture [16,20,21] or a sharp metal scattering tip in association with a far field detector [22]. The typical spatial resolution used for waveguide characterization is in the range 10 to 100  $\mu\text{m}$ .

Analytical treatments of waveguide dispersion and attenuation are possible in simple cases or in certain approximations or limits and can be useful in aiding physical understanding. Microstructuring usually make direct solution of Maxwell's equations too complicated but all of the guides that we discuss can in principle be using commercially available full vectorial electromagnetic simulation software based, for example, on the finite difference time domain (FDTD) technique [23]. However, it should be noted that numerical simulations are frequently computationally expensive. This is because they can require a very large number of mesh cells if they encompass length scales ranging from the few nm needed to describe the field penetration into metals to the many cm lengths that might be required to form stable modes in macroscopic guides or to investigate bend loss. Simplifications and approximations are therefore usually made. For example, material dispersion might be neglected, the input and output coupling might not be treated realistically or surface roughness and bulk inhomogeneity might be ignored. Common approximations are to treat metals as perfect electrical conductors (PECs) or to use surface impedance boundary conditions. Such approximations inevitably require that waveguide concepts are also tested experimentally and this review will emphasise experimental studies more than theoretical ones.

The contents of this paper are arranged as follows. In sections 2, 3 and 4 we summarise work on what might be thought of as traditional guiding concepts based on metal tubes, parallel plates and planar transmission lines, highlighting recent innovations. In sections 5 and 6 we describe THz guiding on metamaterial surfaces and in microstructured optical fibers. In section 7 we present some conclusions and an outlook for future work. We have not attempted to exhaustively list every relevant work in such a short review but instead have tried to select a subset which hopefully provides a flavour of the current status of the field. The reader might want to rectify any bias or omission by referring to more specialised reviews that overlap this one to some degree, such as a very early one by Grischkowsky [24], whose

group has pioneered THz waveguide studies, and a recent one on dielectric waveguides by Atakaramians et al [25].

## 2. Hollow metal guides

The use of cylindrical and rectangular, hollow metal guides is ubiquitous in the microwave region. The first TDTs studies of similar structures were made by Grischkowsky's group [26,27] in a set-up like that shown in figure 1a. For small (few mm or less) diameters the modes closely resemble the well known analytical solutions for guides with perfectly conducting walls [28]. These solutions are of two types: transverse electric (TE<sub>nm</sub>) with no axial electric field component and transverse magnetic (TM<sub>nm</sub>) with no axial magnetic field component. Here, n and m are integers that characterise the guided mode. Optically or optoelectronically generated broadband THz pulses generally have spectra spanning 4 to 6 octaves (see figure 1d) and therefore usually encompass the cut-off frequencies of a number of modes. However, the coupling coefficients (proportional to the square of spatial field overlap integrals) to the usual linearly polarised TEM<sub>00</sub> Gaussian input beam are small for all but a few of the lowest order ones. In guides with transverse internal dimensions up to few mm or more, linearly polarised beams are experimentally found to couple predominantly to the TE<sub>11</sub> and to a lesser extent the TE<sub>12</sub> and TM<sub>11</sub> modes of hollow circular guides and the TE<sub>10</sub> and TM<sub>12</sub> modes of rectangular guides, in accord with the calculated overlap integrals [27]. In a circular guide, the lowest loss mode is TE<sub>01</sub> which has essentially zero field at the metal surface, but it cannot couple to linearly polarised radiation because it has azimuthal symmetry.

All modes have low frequency cut-offs with the propagation constant above cut-off described by

$$\beta_{mn} = k_o \sqrt{1 - \frac{f_{c,mn}^2}{f^2}}, \quad [1]$$

where for circular guides  $f_{c,mn} = c\chi_{mn}/(2\pi a)$  is the cut-off frequency of the TM<sub>mn</sub> or TE<sub>mn</sub> mode,  $a$  is the radius and  $\chi_{mn}$  is nth non-vanishing root of the m<sup>th</sup> order Bessel function  $J_m$ , or its derivative  $J_m'$ , respectively [28].

The effect of transmission through a 4 mm long, 280  $\mu\text{m}$  inner diameter, stainless steel waveguide (hypodermic tube) on a single cycle-like THz pulse is shown in figure 2 [26]. A sub-ps input pulse is broadened to more than 5 ps and is negatively chirped (high frequencies arrive earlier than low frequencies,  $\beta_2 < 0$ ) because of the dispersion associated with several mode cut-offs. The spectrum shows oscillatory structure characteristic of multimode interference. At 1 THz the power absorption coefficient was measured to be  $0.7 \text{ cm}^{-1}$  ( $\sim 3 \text{ dB/cm}$ ). To put this into context, this is significantly better than planar transmission lines at the same frequency but a lot worse than can be obtained with the dielectric-lined metal tubes or polymer fibers. These other types of guide are discussed later. The GVD of the  $\text{TE}_{11}$  mode can be found using equation 1. At 1.5 THz, which is about 0.9 THz above cut-off, the GVD is estimated to be  $-11 \text{ ps/THz/cm}$ , a value roughly consistent with the pulse broadening and chirp seen in figure 2a.

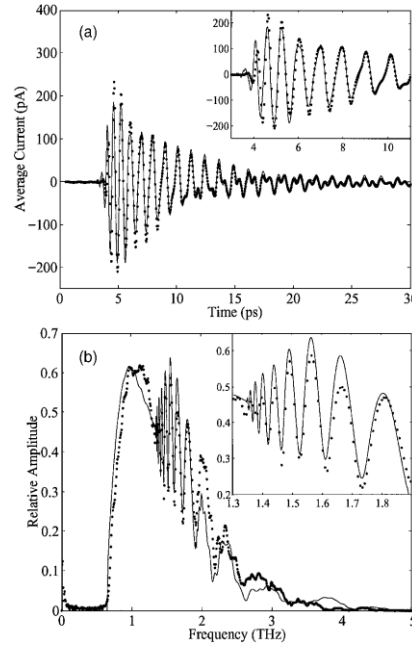


Fig.2: (a) Measured THz pulse (dots) transmitted through a 4-mm-long 280  $\mu\text{m}$  diameter, stainless-steel waveguide. (b) Amplitude spectrum (dots) of the measured transmitted pulse. The solid curves are the theoretical predictions for coupling to a sum of the  $\text{TE}_{11}$  (77%, cut-off at 0.65 THz),  $\text{TM}_{11}$  (20%, 1.31 THz) and  $\text{TE}_{12}$  (3%, 1.81 THz) modes. The insets show an expanded scale. Reproduced with permission from [26]. Copyright 1999 The Optical Society of America.

Above the cut-off frequency, the modal power attenuation due to Ohmic loss scales as  $R_s/a$  where  $R_s = \sqrt{(\pi f \mu_0 / \sigma)}$  is the characteristic surface resistance and  $\sigma$  is the conductivity. The attenuation coefficient of the experimentally dominant modes in the  $a \ll \lambda$  limit is given by [28]



$$\alpha(f) \approx \frac{2}{a} \left( \frac{k_o}{\beta} \right) \sqrt{\frac{\pi \epsilon_o f}{\sigma}} . \quad [2]$$

In a 280  $\mu\text{m}$  diameter stainless steel guide, the loss estimated from equation 2 is  $\sim 2.7$  dB/cm at 1 THz for stainless steel, in rough agreement with experiment [26]. For copper, the best reflector at THz frequencies, the higher conductivity leads to a lower estimated loss of  $\sim 0.4$  dB/cm.

A fact not generally appreciated, although discussed in detail by Kato and Miyagi [29], is that as the radius of a circular guide is increased the  $\text{TE}_{1n}$  and  $\text{TM}_{1n}$  modes, which can couple to linearly polarised radiation, undergo a transformation into hybrid modes which possess all field components. For example, the  $\text{TE}_{12}$  mode gradually evolves into the hybrid  $\text{EH}_{11}$  mode and  $\text{TM}_{11}$  evolves into  $\text{HE}_{11}$ . The transformation becomes noticeable when  $a/\lambda$  reaches a value of  $\sim 100$ , as illustrated in figure 3. A similar picture holds for rectangular metal guides. Such drastic mode transformations do not occur in hollow core dielectric guides.

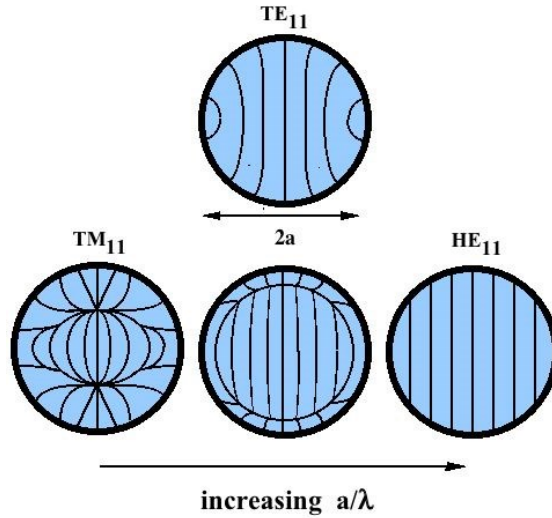


Fig. 3: Top: rough sketch of electric field lines for the usually dominant  $\text{TE}_{11}$  mode of a cylindrical metal tube (for  $a/\lambda < 10$ ). Bottom: Transformation of the  $\text{TM}_{11}$  mode into the hybrid  $\text{HE}_{11}$  mode as  $a/\lambda$  is increased from 10 to 100 to 1000 [29].

It turns out that the  $\text{HE}_{11}$  mode in hollow metal guides has some very useful properties, namely the field essentially vanishes at the boundary and the field is polarised in one direction. This gives rise to low Ohmic loss propagation with weak coupling to other modes and high coupling efficiency ( $>95\%$ ) to linearly polarised free space  $\text{TEM}_{00}$ -like beams. In the case of circular guides, the attenuation of the  $\text{HE}_{11}$  mode scales with frequency and core

radius as  $\sqrt{f}/(f^2 a^3)$  [29,30] compared with the  $\sqrt{f}/a$  behaviour of all other modes except for those which also have vanishing field at the metal boundary, such as the azimuthally polarised  $TE_{0m}$ . This makes it particularly attractive at THz frequencies where powers are usually low and Ohmic loss can be a serious limitation.

In a circular guide the cross-over from  $TM_{11}$  to  $HE_{11}$  character takes place when  $a > Z/(kZ_l)$  where  $Z$  is the wave impedance of the medium filling the guide,  $k$  is the wavevector and  $Z_l = -E_z/H_\phi$  is the longitudinal surface impedance [31]. The coordinates  $z$  and  $\phi$  refer to the propagation axis and tangent to the guide inner surface perpendicular to  $z$ . For a smooth wall  $Z_l \sim (1+j)R_s$  so that at 1 THz a copper guide radius larger than 5 cm is required to support a predominantly  $HE_{11}$  character mode, which is far too large to be practical. Fortunately, the radius required can be dramatically reduced by increasing the impedance of the inner surface of the guide. Two alternative approaches to so doing are to create a periodic corrugation or to apply a thin dielectric coating. At low THz frequencies ( $<0.5$  THz), oversized circular metal guides with a corrugated internal surface have shown  $HE_{11}$  losses as low as 0.01 dB/m [32]. Such performance is attractive for applications requiring long propagation lengths and high power transport such as electron cyclotron resonance plasma diagnostics in fusion research and dynamic nuclear polarization magnetic resonance [32]. The corrugations typically have a pitch  $p \sim \lambda/3$  and depth  $h \sim \lambda/4$ . The upper frequency defining the low loss transmission band is determined by  $p$  and the ‘tooth’ width and the lower frequency by  $h$ . The length scale of the structuring makes the fabrication of such guides difficult at THz frequencies although they are commercially available at a few hundred GHz. A possible route to simplifying fabrication suggested recently is to stack rings with alternately large and small inner diameters inside a sleeve [33].

Another approach to improving the attenuation of the bare metal tube is to coat the inside surface with an impedance transforming dielectric with thickness chosen to sustain the  $HE_{11}$  mode, a technology first developed for the mid infrared. The optimum coating thickness is only a small fraction of a wavelength and for a low loss dielectric of index  $n_d$  can be approximated by [34]

$$d = \frac{\lambda}{2\pi\sqrt{n_d^2 - 1}} \tan^{-1} \left( n_d (n_d^2 - 1)^{-1/4} \right). \quad [3]$$

Polystyrene ( $n_d=1.6$ ) is an easily applied coating material with reasonable loss characteristics (10 dB/cm at 3 THz [35]) for this application. For this material equation 3 gives an optimum thickness of 18  $\mu\text{m}$  (or a little less if taking account of dielectric loss) at 2 THz. For such a thin layer the field fraction in the dielectric is small enough that the effect of the coating on attenuation and dispersion can be neglected to a first approximation. The power attenuation in the  $ka \gg 1$  limit is then given by [36]

$$\alpha_{nm} = \left( \frac{u_{nm}}{2\pi} \right)^2 \frac{\lambda^2}{a^3} \left( \frac{n}{n^2 + \kappa^2} \right) \left( 1 + \frac{n_d^2}{\sqrt{n_d^2 - 1}} \right) \quad [4]$$

where  $u_{nm}$  is the  $m^{\text{th}}$  root of the Bessel function  $J_{n-1}$  and the refractive index of the metal is  $n+j\kappa$ . For high conductivity metals such as Cu or Ag,  $n/(n^2 + \kappa^2)$  in equation 4 is  $\sim 10^{-3}$  at 1 THz [37]. For a silver tube with  $a=1$  mm, the attenuation calculated using equation 4 is  $\sim 0.1$  dB/m at 2 THz. The measured attenuation for Ag/polystyrene tubes of a few mm diameter at the same frequency has been reported to be  $\sim 1$  dB/m near 2 THz [34] which is higher than predicted above although the experimental parameters are not strictly in the  $ka \gg 1$  limit and no account was been taken of the effects of atmospheric and dielectric absorption and coating non-uniformity or roughness which might affect experiments. Experimentally, uncoated tubes are found to have an order of magnitude larger loss than coated ones of the same diameter [38], roughly as expected from equations 2 and 4. Apart from its relatively low loss, the dielectric coated tube also has the attractive feature that both metal and dielectric films can be deposited inside thin wall polymer tubes using liquid phase chemistry techniques so that it is possible to manufacture flexible guides [38].

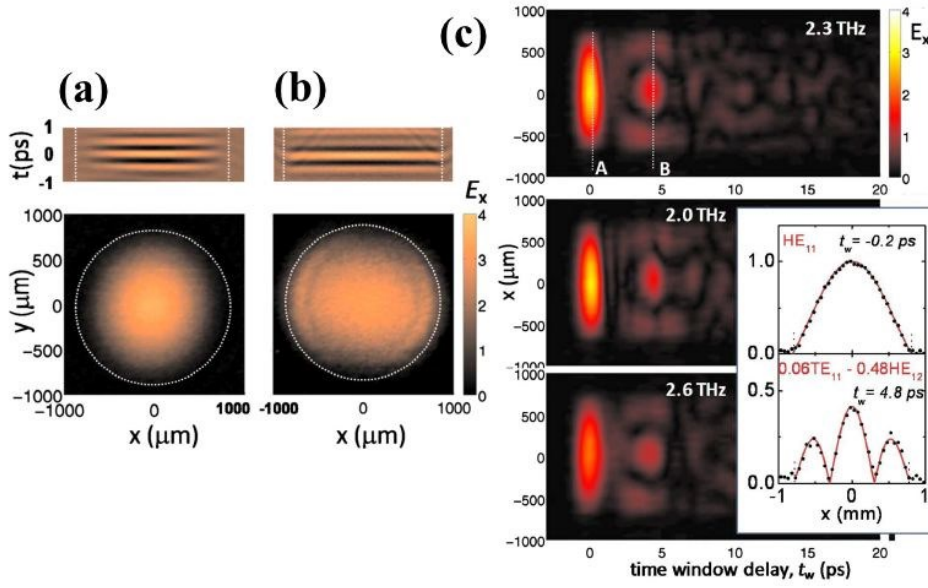


Fig.4: (a) Bottom panel: spatial electric field  $E_x$  distribution at  $t = 0$  measured at the output of a dielectric coated waveguide using a terahertz near-field probe; top panel: the corresponding space-time map showing the pulse waveform as a function of position along the  $x$ -axis. (b) Similar measurements on a waveguide with the same dimensions but without the dielectric layer. (c) Space-time maps showing temporal distribution of the electric field amplitude at the waveguide output for different frequencies around the pulse peak frequency. Inset: mode profiles measured at time window delays A and B showing the  $\text{HE}_{11}$  mode near zero delay and a superposition of  $\text{TE}_{11}$  and  $\text{HE}_{12}$  at a delay of 4.8 ps. Reproduced with permission from [16]. Copyright 2009 The Optical Society of America. (colour online)

Some of the properties of the dielectric lined, circular metal tube have been confirmed using near field THz imaging [16] and these particular studies give a good idea of the usefulness of such techniques for waveguide characterisation. Figures 4a and 4b show near field images 100  $\mu\text{m}$  beyond the output ends of 13 cm long, 1.7 mm inner diameter, silver wall guides with and without a dielectric coating respectively. The reduction in field at the metal surface in the coated case is clearly evident. Figure 4c illustrates how the mode composition can be deduced from field maps at different time delays and also shows the relatively high group velocity and low GVD of the  $\text{HE}_{11}$  mode which is localised near zero delay. Using equation 1, we calculate the GVD at 2 THz to be  $-0.075$  ps/THz/cm for this structure, which is consistent with experiment. The GVD increases rapidly as the  $\text{HE}_{11}$  cut-off frequency at 0.14 THz is approached and is  $-0.60$  ps/THz/cm at 1 THz.

Another close relation of the metal tube guide is the coaxial guide which has an additional centre conductor and supports a TEM radially polarised mode with no cut-off and therefore potentially low dispersion. This has been studied experimentally at THz frequencies [39] but

it is difficult to efficiently couple free space beams to a radially polarised mode and the dielectric necessary to support the centre conductor in practical structures would create additional loss and dispersion. Other configurations which support TEM modes and couple much more efficiently to linearly polarised free space beams are two parallel wires and two parallel plates. The parallel plate is particularly important and is discussed at length in section 3.

### 3. Parallel and tapered plates

An alternative approach to the two dimensional (2D) confinement of metal tubes which is particularly appealing for use with broadband THz pulses is the one dimensional (1D) confinement offered by the parallel metal plate guide (PPWG). For input polarisation perpendicular to the plates, only coupling to  $TM_n$  modes is possible and these have cut-offs at  $f_c = nc/2h$  where  $h$  is the plate separation. For small enough  $h$  that  $f_l$  is larger than the pulse bandwidth, a PPWG can support only the fundamental  $TM_0$  (TEM) mode which has a uniform electric field perpendicular to the plates (in the PEC approximation) together with impedance matching to free space. Very efficient coupling to a  $TEM_{00}$  free space beam can therefore be achieved using simple quasi-optical components such as hyper-hemi-cylindrical silicon lenses. Because the TEM mode has no cut-off the GVD is exceptionally small (zero for PEC plates, slightly positive for real metal ones). This makes it extremely attractive for time domain studies because it can support broadband pulse propagation with almost no distortion [40]. Another very important application of the PPWG is to the construction of THz QCL ridge waveguides. Here, one of the plates is a thin metal layer deposited on top of the semiconductor gain medium whilst the other might be an underlying, thin, highly doped semiconductor layer with plasma frequency well above that of the laser frequency [10].

The power attenuation coefficient of the PPWG is given by [28]

$$\alpha_{TEM}(f) = \frac{2}{h} \sqrt{\frac{\pi \epsilon_o f}{\sigma}}. \quad [5]$$

For Cu plates with  $h=100 \mu m$ , equation 5 gives  $\alpha \sim 0.14 \text{ cm}^{-1}$  (61 dB/m) at 1 THz, in reasonable agreement with experiment [40]. This value of  $h$  is the maximum that allows single mode TEM operation with a 3 THz bandwidth. The  $TM_1$  mode has a cut-off at 1.5 THz but an even symmetry input field does not couple to this odd symmetry mode. When using equation 5 it needs to be appreciated that metal surfaces or thin evaporated metal layers might

have lower THz conductivity than bulk metals [41]. Another fact that needs to be taken into account in principle is that the TEM mode of the PPWG evolves into a mode with surface plasmon-polariton (SPP) character on the two plates when  $h$  becomes very large compared with the wavelength. This effect can be expected to become noticeable when  $h/\lambda > 100$ , in an analogous way to the mode transformations seen in hollow metal guides described in section 2. The relevance of SPPs to THz guiding is discussed at length in section 5.

A number of useful modifications to the PPWG are possible. For example, the plates can be curved to make  $h$  smaller in the transverse direction so as to limit lateral spread of the guided mode or an adiabatic longitudinal reduction in  $h$  can be used to improve coupling into a section of guide with very small plate separation. The latter is important because PPWGs can be used for sensitive spectroscopic sensing of small quantities of material if the plates are very close together. For example, Zhang and Grischkowsky [42] were able to detect the presence of water layers as thin as 20 nm in a 50  $\mu\text{m}$  thick, 6.35 cm long Cu PPWG. Mendis and Grischkowsky [43] have also explored a flexible PPWG as a possible THz interconnect.

Another variant is the slot guide, which can be thought of as a narrow plate version of the PPWG which support a TEM mode with the benefit of transverse confinement. Wächter et al [17] have verified the very low loss and dispersion of a 270  $\mu\text{m}$  wide slot between 300  $\mu\text{m}$  thick metallised silicon plates using a minimally invasive photoconductive near field probe which was scanned along the propagation axis in the region of the fringing field. A similar approach has been used to characterise porous THz fibers (see section 5) by studying the evolution of the evanescent field with propagation [44].

A more complicated modification to the PPWG is to etch a periodic array of grooves on one or both internal surfaces to create a Bragg filter which can be used for sensitive measurements of changes in refractive index or absorption of material placed in the waveguide by monitoring spectral detuning. Nagel et al [45] showed that a very high Q factor stop band can be engineered by corrugating just one surface (figure 5). The Q factor of  $\sim 500$  that they measured at 0.5 THz is an order of magnitude higher than so far achieved with thin film microstrip ring resonators based on the guiding technology described in section 4. A driving force behind the work of this group was the search for a sensitive, cheap and disposable device for marker-free biomolecule detection on functionalised surfaces. Coupled cavities [46] and 2D photonic crystals [47] have also been integrated with PPWGs but the Q factors achieved have so far been much lower.

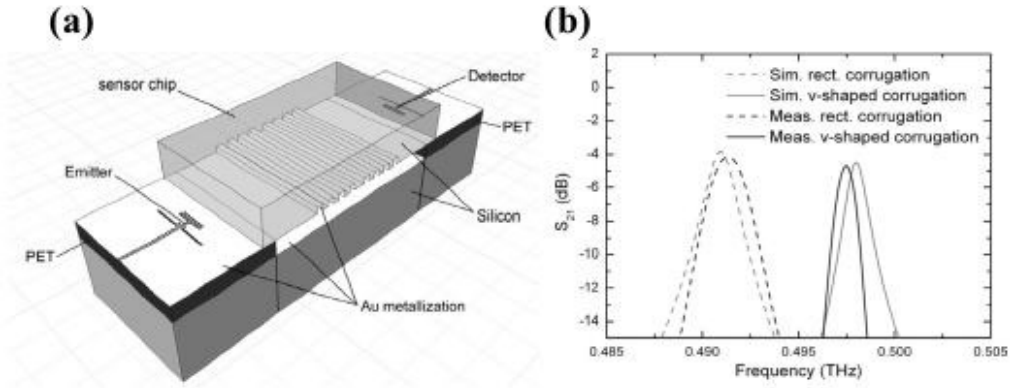


Fig.5 (a) Experimental configuration of planar transmitter and receiver with removable sensor chip comprising half of a parallel plate guide with high Q bandstop filter. (b) ratio of measured and simulated output to input signal amplitudes ( $S_{21}$ ) for different shape surface corrugations. Reproduced with permission from [45]. Copyright 2006 The Institute of Physics.

A further interesting use of the PPWG is to channel THz radiation into deep sub-wavelength scale volumes. This can be accomplished by adiabatically tapering both the plate width ( $w$ ) and plate separation ( $h$ ) down to a few tens of microns or less. Zhan et al [48] have demonstrated field concentration into a deep subwavelength scale volume using this approach (figure 6). Rusina et al [49] have theoretically examined the use of tapered coaxial guides to concentrate THz radiation in a similar way. They found that the minimum useful ‘aperture’ size is a few times larger than the skin depth in the metal (i.e.  $\sim 100$  nm). Further reduction results in the majority of the guided energy being dissipated in the metal, a limitation that presumably also applies to the tapered PPWG.

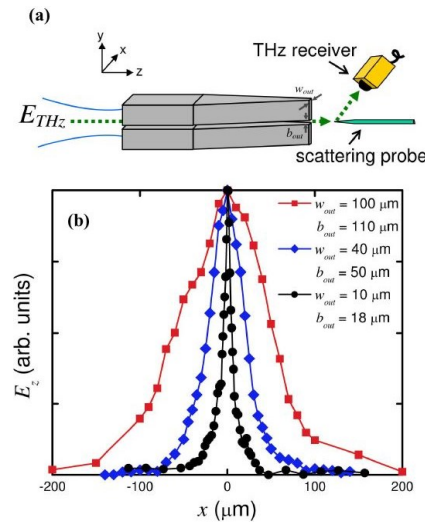


Fig. 6: (a) Experimental arrangement for near field probing of  $E_z$  at the exit of a tapered PPWG. (b) Normalized line scans at the output of a tapered PPWG in close proximity to the edge of one plate where the axial field component is large. The line scan along the x-axis shows the degree of localization of the THz field at the output face for different values of plate width  $w_{\text{out}}$  and plate separation  $b_{\text{out}}$ . Using the peak spectral component (0.115 THz) of the broadband THz pulse, the narrowest curve corresponds to a localization length of  $\lambda/260$  along  $x$ . (a) and (b) reproduced with permission from [48]. Copyright 2010 The Optical Society of America. (colour online)

Although the TEM mode is the one most commonly associated with the PPWG, the  $\text{TE}_1$  mode, which has electric field parallel to the plates also has some very useful properties, as pointed out by Mendis and Mittleman [50]. The  $\text{TE}_1$  cut-off for a plate sep of 5 mm is 30 GHz which is well below the usual range of TDTs so that dispersion can be effectively ignored. Unlike the TEM mode, the field is very small at the metal boundaries and this results in a remarkable ultralow loss. The power attenuation is given by [28]

$$\alpha_{\text{TE}}(f) = \frac{4}{h} \frac{f_c^2}{f^2} \sqrt{\frac{\pi \epsilon_o f}{\sigma(1 - f_c^2 / f^2)}}. \quad [6]$$

Using equation 6 we find that the attenuation for a 5 mm copper plate separation is only 2.6 dB/km at 1 THz compared with 1.5 dB/m for the TEM mode at the same  $h$  calculated using equation 5. This can be qualitatively understood by the fact that grazing incidence s-polarised waves are more strongly reflected than p-polarised ones at a metal surface. The attenuation of the  $\text{TE}_1$  mode decreases with increasing frequency in a similar manner to the  $\text{HE}_{11}$  mode of a dielectric coated circular metal guide, which is very different to the case of the TEM mode where the attenuation increases with frequency. Mendis and Mittleman [51] have shown that the  $\text{TE}_1$  mode in PPWGs can be exploited in a variety of potentially useful devices such as refractive index sensors (by integrating simple cavities), filters and lenses.

#### 4. Planar transmission lines

Some of the earliest guides studied at THz frequencies were based on 3 terminal co-planar waveguides (CPW) and 2 terminal microstrip (MS) and co-planar stripline (CPS) similar to those used at microwave frequencies except for smaller dimensions and different choice of substrate. Figure 7 illustrates the common designs. Work on studying quasi-TEM pulse propagation in such transmission lines using ultrafast optical techniques was instrumental in the development of TDTs in the 1980s and transmission lines continue to be used in photoconductive THz transmitters and receivers and studied for “on-chip” applications like signal processing and sensing [52,53]. Because of strong attenuation and dispersion the



propagation distances are typically only a few mm and the bandwidth struggles to exceed ~2 THz. State of the art attenuation currently appears to be in the range 2-5 dB/mm near 1 THz [54-57]. The main loss mechanisms are Ohmic (increases as  $\sqrt{f}$ ), dielectric (usually faster than  $f$ ) and radiation ( $f^3$ ) [58]. Radiation in the form of an electromagnetic shock wave, occurs when an electrical pulse and its associated multipolar electric field distribution propagates faster than the phase velocity in the dielectric [59]. This Cherenkov effect is a direct result of the dielectric mismatch between the substrate and air. One of the main developments in the last decade has been to reduce radiation loss by building lines on very thin and low permittivity polymer substrates [54,60] such as BCB (benzocyclobutene) and polypropylene and by using smaller and more closely spaced tracks. The use of quadrupole electric pulses (which have a smaller radiation rate than the usual dipolar pulses) on three parallel tracks was suggested by McGowan et al [55] but has not been widely exploited so far. With careful optimisation, all of the designs shown in figure 7a seem capable of roughly similar performance and it appears to be an open question as to whether there is a ‘best’ transmission line [56,57]. The choice tends to be based on application specific considerations such as the extent of the evanescent field, ease of integration and impedance matching constraints.

The attractive features of transmission lines for applications are the small size and planar geometry which makes them compatible with chemical or biochemical sensing of small samples, monolithic integration with filters and photoconductive THz transmitters and detectors and operation at cryogenic temperatures [45, 61]. Photoswitch integration is generally performed by epitaxial lift off of a ~1  $\mu\text{m}$  thick low temperature (LT) GaAs film from its GaAs substrate, a process involving dissolution of a sacrificial, intermediate layer of AlAs, followed by van der Waal’s bonding to the transmission line chip. Apart from the lift off and reattachment steps, which are rather labour intensive, they are easy to make using standard semiconductor microfabrication techniques. Sensing is based on loading resonators with a material of interest and monitoring the spectral detuning due to changes in absorption and refractive index. With appropriate filtering to remove signal reflections, resolution of a few GHz is possible. Sensing is also possible at multiple frequencies using arrays of filters [61].

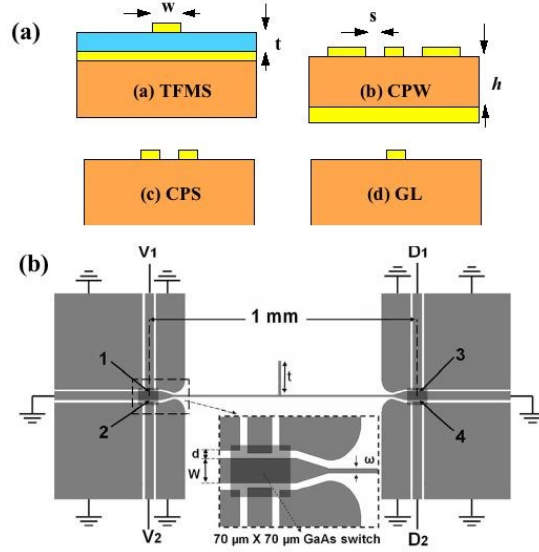


Fig. 7: (a) Schematic cross sections of thin film microstrip (TFMS), coplanar waveguide, (CPW), coplanar stripline (CPS) and planar Goubau line (GL). If the metal tracks are wide then the CPS becomes a planar slot line. The orange blocks denote the substrate, yellow is metal and blue is low loss dielectric. The various dimensions  $s, t, w$  etc. are typically in the range 1-10  $\mu\text{m}$ . (b) GL chip on quartz substrate with metal and LT GaAs photoswitches shown as different shades of gray. (b) reproduced with permission from [62]. Copyright 2009 The American Institute of Physics.

A type of transmission line which has recently begun to attract interest for THz sensing is the Goubau line (figure 7a) which is simply a single thin metal track on a low loss, ideally very thin, substrate. Compared with the other geometries in figure 7a, the evanescent field of the GL has larger spatial extent into air and thus greater interaction with overlaid material which makes it attractive for sensing [62]. Figure 7b shows an example of a chip layout of typical complexity which incorporates a transmitter, CPW transitions, GL, stub filter and receiver and which might be used for sensing material placed on or near the central line. The transmitter photoswitch is excited by a femtosecond laser pump pulse and controlled by voltages  $V_1, V_2$ . Quadrupolar pulses are generated on the CPWG and transform to radially polarised surface plasmon polaritons on the Goubau line which is an extension of the centre track of the CPWG. The receiver photoswitch is gated by a time delayed probe pulse and the photocurrents at  $D_1, D_2$  are measured as a function of delay. From this it can be seen that the discrete components of the TDTs system shown in figure 1a are effectively monolithically integrated into a few mm size chip, albeit with compromised performance.

## 5. Surface waveguiding and metamaterials

Coupling between light and the collective oscillations of the electron plasma at a conductor-dielectric interface gives rise to hybrid, quasi-TEM propagating surface modes called surface plasmon-polaritons (SPPs) [63]. The motion of the electronic system exhibits a phase lag with respect to the driving electromagnetic field resulting in reduced phase and group velocities of the SPP. This gives rise to strong field localization at the surface at frequencies which are an appreciable fraction of the (uncoupled) surface plasmon frequency, which for metals generally lies in the ultraviolet. At optical frequencies, SPPs have fields which decay exponentially with distance from a metal-dielectric interface on length scales of order a few 10's of nm in the metal and a fraction of the free space wavelength in the dielectric. Because the fields are strongly enhanced and confined on a few hundred nm scale, such modes have found applications in magneto-optic data storage, chemical sensing and near field microscopy and spectroscopy [63].

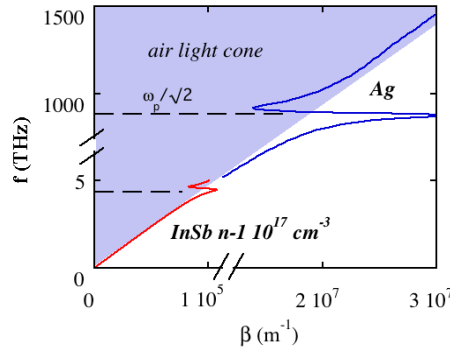


Fig. 8 Calculated SPP dispersion curves for silver and n-type InSb surfaces in air. With increasing propagation constant the SPP frequency asymptotically approaches the surface plasmon frequency, which for a metal is  $\sqrt{2}$  times smaller than the bulk plasma frequency.

The SPP propagation constant is given by

$$\beta = k_d \sqrt{\frac{\epsilon_m}{\epsilon_m + \epsilon_d}} \quad [7]$$

where  $k_d$  is the wavevector in the dielectric and  $\epsilon_m$ , and  $\epsilon_d$  are the complex dielectric constants of the conductor and dielectric. An essential requirement for the existence of SPPs is that the real parts of  $\epsilon_m$  and  $\epsilon_d$  are of opposite sign. Equation 7 is plotted in figure 8 for two conductors with very different plasma frequencies, the metal Ag and the semiconductor InSb.

Surface waves have  $\beta > k_d$  where  $k_d$  is the wavevector in the dielectric and thus have dispersion curves below the light cone in figure 8. This indicates that coupling to free space beams requires some method of phase matching. Furthermore, the SPP decay length into the dielectric is equal to  $1/\sqrt{\beta^2 - k_d^2}$  so that strongly bound SPPs lie further below the light cone than weakly bound ones. Figure 8 therefore shows that SPPs become increasingly delocalised as the frequency is reduced below that of the surface plasmon. In the far infrared and microwave regions, metals support electromagnetic surface waves, but when so far below the surface plasmon resonance they resemble perfect conductors and the evanescent field penetrates very little into the surface and extends a large distance ( $\gg \lambda$ ) into the dielectric. Let us consider a copper surface as a specific example. Using experimental values for the real and imaginary parts of the dielectric constant at 1 THz [37], the propagation length is found to be several hundred metres and the exponential field decay lengths into air and metal are  $\sim 20$  cm and 65 nm respectively. Such extended quasi-TEM surface modes are known as Zenneck waves on flat surfaces and have been studied at THz frequencies where they resemble grazing incidence light fields or surface currents more than SPPs in the visible [64,65].

The much lower carrier densities of doped semiconductors compared with metals gives rise to intrinsic plasma frequencies in the THz range, with associated wavelength-scale decay lengths into air, as indicated in figure 8. However, even high mobility materials such as InSb are relatively lossy and engineering opportunities are limited. There is, however, an alternative way of confining electromagnetic waves to surfaces at THz frequencies. Any sub-wavelength-scale metal surface structure, such as holes or grooves, can enhance the binding of surface waves, as discussed some time ago by Goubau [66] and Mills and Maradudin [67]. Physically, the enhanced binding arises because of greater penetration of the field into an effective surface layer. From a microscopic rather than effective medium viewpoint, the evanescent field is associated with ‘cavities’ in the surface that are below cut-off. More recently, the concept was generalised by Pendry and co-workers [68], who introduced the idea of plasmonic metamaterials with surface waves mimicking some of the properties of SPPs but with *effective* plasma frequencies entirely determined by geometry. Indeed the metal could in principle be a perfect conductor which does not support SPPs at all. For brevity we will refer to what Pendry et al [68] called ‘spoof’ SPPs as S-SPPs.

Metamaterials are generally structured on a sub-wavelength scale, in contrast to photonic crystals where the periodicity is on the scale of the wavelength. They are being intensively

explored for exotic applications such as imaging with resolution below the diffraction limit [69], and electromagnetic cloaking [70] but here we will concern ourselves only with simpler ‘meta-surfaces’ relevant to S-SPP waveguiding and which provide a functionality intermediate between that offered by the approaches described in sections 3 and 4.

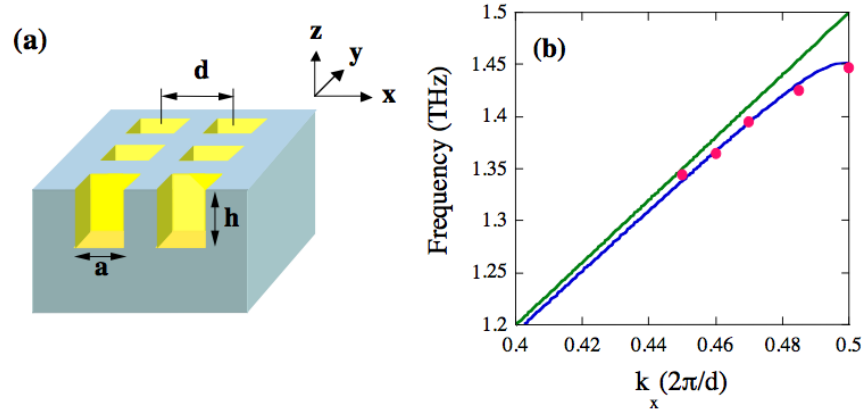


Fig. 9: Schematic of copper meta-surface structure.  $a=66 \mu\text{m}$ ,  $h=58 \mu\text{m}$ ,  $d=100 \mu\text{m}$ . (b) S-SPP dispersion curve for structure in (a) near the Brillouin zone boundary. Green curve shows light line, red shows modal expansion calculation and blue points show FDTD calculation. Reproduced with permission from [72]. Copyright 2008 Nature.

Following an early CW investigation of THz guiding on a perforated metal sheet by Ulrich and Tacke [71], S-SPPs on a metamaterial surface comprising an array of square blind holes in a copper sheet was studied in detail by Williams et al using TDTs [72]. Typical dimensions and corresponding theoretical dispersion curve are shown in figure 9. The experimental geometry and electron micrographs of the structure of figure 9a are shown in figure 10a. This type of structure can be made by photolithographic patterning of thick, epoxy-based photoresists followed by conformal metal coating using techniques such as catalytically assisted deposition from the liquid phase. In the experiment, diffraction at metal edges ( $h_1$  and  $h_2$  in Fig 10a) was used to facilitate phased matched coupling between the S-SPP and a free space beam. Wavelength-scale out of plane confinement was observed over an octave range below the Brillouin zone boundary. The degree of confinement was studied using an adjustable height intermediate aperture ( $h_3$ ) in measurements such as those shown in figure 10b. For example, the amplitude decay length was found to be  $\sim 1.3\lambda$  at 1.3 THz and  $\sim 3\lambda$  at 0.4 THz. These values are hundreds of times smaller than expected for a flat, bare metal

surface. The propagation loss near the band edge was estimated to be 1-2 dB/cm, reflecting the inverse relationship between Ohmic loss and confinement.

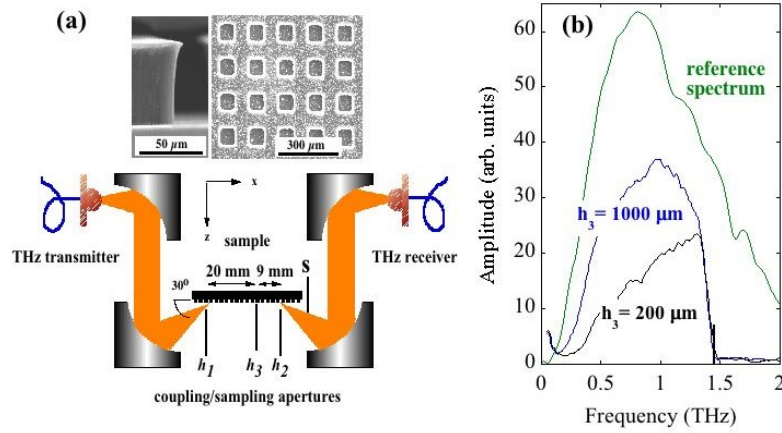


Fig. 10: (a) Electron micrograph of a copper meta-surface and schematic of experimental arrangement for studying S-SPP propagation. (b) Detected signal spectra after propagation 29 mm between apertures 1 and 2 through an intermediate aperture of height  $h_3$ . The vertical bar indicates the zone boundary cut-off. Reproduced with permission from [72]. Copyright 2008 Nature. (colour online)

In the case of air filled holes, the cavity resonances in a periodic structure all lie above the band edge and cannot be accessed experimentally. The periodicity then determines the cut-off frequency at which S-SPPs form standing waves and above which they cannot propagate. Whilst effective to a certain degree, this approach does not lend itself to guiding over a large frequency range or give access to the deeper sub-wavelength-scale field confinement that is in principle possible close to cavity cut-offs. One way of overcoming these problems is to lower the cavity cut-off frequency below the band edge by filling the holes with a dielectric having a refractive index significantly larger than  $(\Lambda/a)^{0.5}$  where  $\Lambda$  is the period and  $a$  is the lateral hole dimension. A more versatile approach is to change the hole geometry, for example from square or circular to rectangular or annular where now the hole depth rather than in-plane dimension controls the cavity cut-off frequency. As an example of this, Williams et al [73] studied guiding on a copper surface decorated with an array of annular grooves (figures 11a and 11b) in the more efficient end-fire coupling configuration shown in figure 11c. The dispersion curves in figures 11d and 11e show that there are two guided modes with the lower frequency one having a cut-off determined by the dimensions of the annular cavity and the higher one by the array periodicity. The spectra after THz pulse propagation by 40 mm are shown in figure 12a and reveal dual band guiding over a broad frequency range. Both guided

modes are confined to within a wavelength of the surface, as shown by the near field measurements in figures 12b and 12c. As is the case in most experiments of this type, numerical simulations provide a good description of the experimentally observed behaviour. as shown in figures 12a and 12c.

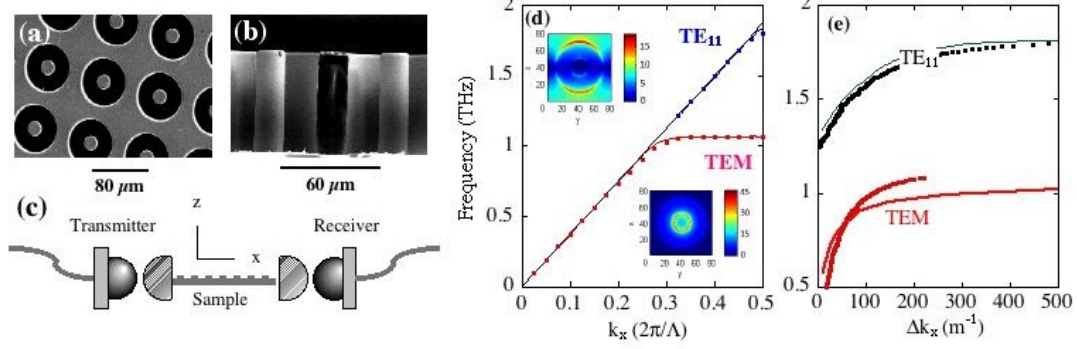


Fig. 11: (a) Electron micrograph of annular groove metamaterial. (b) Cross section of (a) showing vertical sidewalls. (c) The experimental geometry for efficiently launching and detecting S-SPPs using hyper-hemicylindrical silicon lenses. (d) Calculated dispersion curves. The insets show maps of the absolute electric field strength 1 μm above the surface of a unit cell at the TEM (upper left) and TE<sub>11</sub> (lower right) cut-off frequencies. (e) Measured (from spectral phase) and calculated (modal expansion) deviation of dispersion curves from the light line. Reproduced with permission from [73]. Copyright 2008 American Institute of Physics. (colour online)

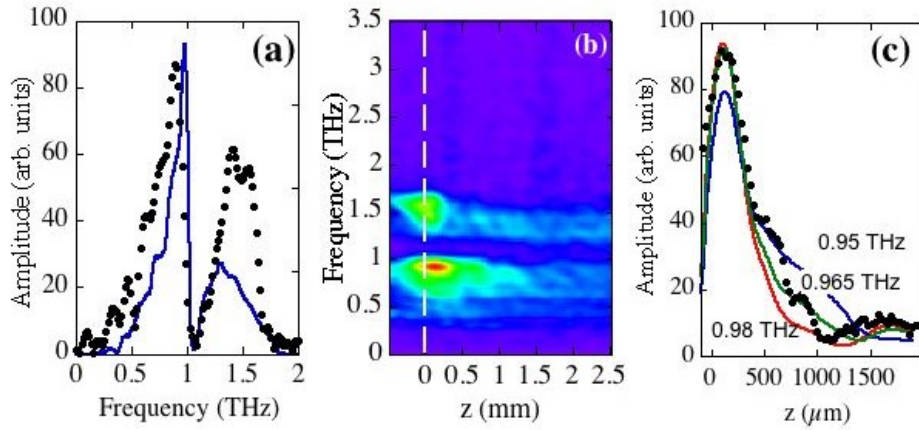


Fig. 12: (a) Comparison of calculated (FDTD, solid curve) and measured (points) near field spectra for the annular groove metamaterial waveguide at a point 100 μm above the surface and 40 μm beyond the output end. (b) Spectral amplitude versus  $z$  map in same  $x$  plane as (a). Blue is minimum and red is maximum amplitude. The position of the sample surface is shown by the dashed line. (c) Measured (points, 0.9 THz) and calculated (curves) field amplitude versus height above the surface at the same  $x$  value as (a). The computed field decay into air is quite sensitive to frequency. Reproduced with permission from [21]. Copyright 2013 American Institute of Physics. (colour online)

An advantage of the meta-surface concept yet to be fully explored is that further functionality can be engineered. For example, tailoring the transverse guide structure by removing holes or grading their size could be used to laterally confine radiation and construct components such as splitters, waveguide transitions and filters [74, 75], as illustrated in figure 13. In this context we note that strong localisation reduces loss from in-plane bends.

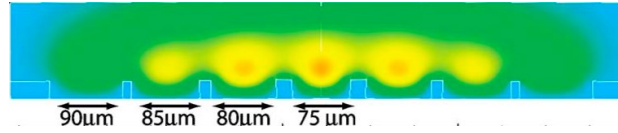


Fig. 13: Finite element electromagnetic simulation of zone boundary guiding on a patterned surface with a lateral gradation in hole size showing vertical sub-wavelength confinement and horizontal wavelength scale confinement to the centre. Reproduced with permission from [74]. Copyright 2006 American Institute of Physics. (colour online)

The surface guiding metamaterials that we have discussed above are planar but the concept can be extended to non-planar geometries. A smooth cylindrical wire supports SPPs known as Sommerfeld waves, which have been closely studied at THz frequencies [76,18]. The attenuation and dispersion of the dominant, azimuthally invariant  $TM_{01}$ -like SPP mode is very low, because the SPP is only weakly confined. A dielectric coating can be used to collapse the guided wave [77] but this introduces considerable dispersion and material loss. An alternative approach to increasing confinement of guided waves is to pattern the wire surface to create a ‘meta-wire’. This has been investigated experimentally and theoretically in both helical [78] and annular groove geometries [79,80,81]. Figures 14a and 14b show time and frequency domain experimental results for S-SPPs on a cylindrical wire with simple annular grooves end-fire excited by quasi-radially polarised pulses [18,79]. The calculated asymptotic cut-off for the lowest and highest order azimuthal modes are indicated by the vertical bars in figure 14b. The majority of the spectral weight is associated with higher order azimuthal modes in both experiment and FDTD simulation for reasons not presently understood. The simulation shows a cut-off near the lowest order, azimuthally independent mode that is not observed in the experiment, possibly because the simulation uses linearly polarised plane wave excitation.



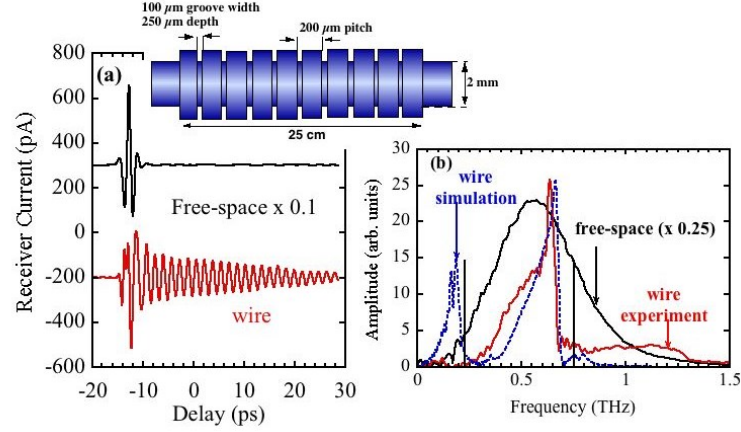


Fig. 14 : (a) Time domain signals of a pulse from a radially polarised transmitter after propagation 25 cm through air and along a 25 cm long, brass ‘metawire’ shown in the inset. (b) Experimental (solid) and calculated (FDTD, dashed) meta-wire spectra [79]. A reference spectrum obtained by removing the metawire is also shown. (colour online)

There are some interesting possibilities for using tapered wires and tapered wire arrays for near field optical probing and imaging. Maier et al [80,81] reported calculations showing how a single tapered wire with periodically spaced annular grooves could be used to concentrate the guided energy at a particular frequency into a sub-wavelength volume at the tip. This is illustrated in figure 15a where the field distribution on a corrugated and tapered wire is shown at frequencies of 0.6 THz, and at 1.2 THz which lie respectively just below and above the asymptotic S-SPP frequency determined by the groove depth.

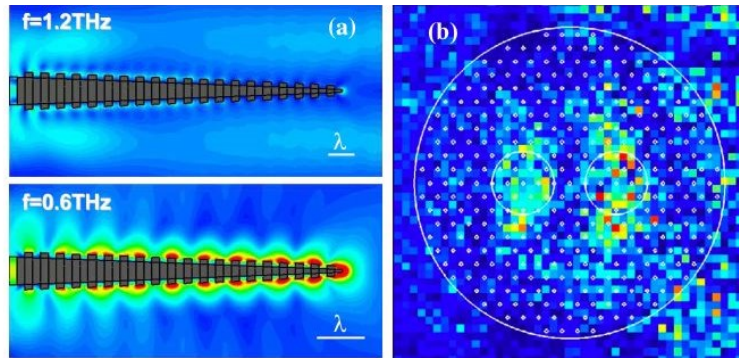


Fig. 15: (a) Simulation of superfocusing on a perfectly conducting 2 mm long conical metal wire with equally spaced grooves (period 100 μm) of constant 30 μm depth [81]. The intensity maps show the magnitude of the electric field at frequencies above and below the zone boundary cut-off. (b) Measured 0.11 THz intensity image of two 200 μm diameter apertures in a metal sheet with inner edge separation of 100 μm after propagation 3.4 mm in a straight wire array. The fiber cross section with metal wire array is superimposed [84]. (a) Reproduced

A three dimensional array of parallel PEC metal wires has unusual guiding properties, sometimes called canalization [82]. Such a structure supports strictly TEM guided waves with dispersion independent of wavevector perpendicular to the wires ( $k_{\perp}$ ). This means that the fields are not evanescent for the large  $k_{\perp}$  that correspond to sub wavelength features and they do not spread out as they propagate [83]. Hence, the spatial resolution at the output face is determined only by the wire spacing. If the spacing is adiabatically increased from input to output then the near field at the input face can in principle be magnified and studied at the output face using far field imaging. A component of this type, which is characterized by an extreme dielectric anisotropy in which not all the principal components of the permittivity tensor have the same sign, is known as an hyperlens [69]. Real metal wire arrays support SPPs so that the dispersion has a weak dependence of  $k_{//}$  on  $k_{\perp}$  and the resolution and amplitude deteriorate with propagation. Although Ohmic loss and transverse dispersion restrict the useful length, many-wavelength propagation should be possible without serious deterioration at THz frequencies. A demonstration of this at low THz frequencies was recently reported by Tuniz et al [84]. An hexagonal wire array was made by filling low loss Zeonex tubes with indium and then stacking and drawing them using polymer fiber fabrication techniques (see section 6) until the wires were about 50  $\mu\text{m}$  apart and 10  $\mu\text{m}$  in diameter. Figure 15b shows a near field, 0.11 THz image of two holes in a metal sheet placed in contact with one face of the bundle measured after propagation about  $2\lambda$  (6 mm) along the optical axis. The object is clearly resolved even though the inner edge separation is only  $\sim\lambda/30$ . Propagation of high transverse spatial frequencies along longer lengths and along tapered wire arrays was also shown to be feasible. However, although this technological development is impressive, extending it to the higher frequencies and smaller dimensions needed for it to be superior to existing near field imaging techniques presents considerable technical challenges.

## 6. Fibers and photonic crystals

Dielectric waveguides at optical frequencies take many forms and most of these have now been investigated at THz frequencies. Amongst the earliest examples to be studied were simple plastic films [85] and ribbons [86], single crystal sapphire fibers [87] and sub wavelength diameter plastic fibres [88]. Guiding in these structures take place by total internal

reflection (TIR) at the core-air boundary with a significant fraction of the wave extending in to the air. A large air fraction and thus low attenuation and dispersion can be arranged by reducing the thickness or diameter but at the expense of poor confinement and consequent loss due to imperfections, bends and scattering by nearby objects. As an example, Chen et al [88] found an attenuation constant of order 0.4 dB/m in a 200  $\mu\text{m}$  diameter HDPE fiber at 0.3 THz. The attenuation and dispersion strongly increase with increasing frequency due to a diminishing air fraction.

Following this early work, attention switched to microstructured optical fibers (MOFs), also called photonic crystal fibers (PCF). MOFs were first developed in silica for near infrared applications and thanks to the excellent physical properties of silica, they can be produced with a precision at the nanoscale in a wide variety of highly functional forms which have lead to advances in nonlinear optics and the development of new optical sources and other devices [89]. At THz frequencies, silica is very lossy but a variety of reasonably low loss polymers amenable to fiber drawing can be substituted if only short propagation lengths are desired. THz polymer MOFs are fabricated using a similar processes to that used for silica MOFs. In the first manufacturing step, a many-cm-diameter version of the structure, called a preform, is created by stacking tubes by hand, sometimes inside a jacket or sometimes the jacket is added at a later stage. Alternatively, a preform is created by precision drilling of holes in a solid cylinder or by extrusion. The preform is drawn down, extending its length and reducing its diameter to  $\sim 5$  mm or so, sometimes with pressure applied to the core and capillaries to prevent tubes collapsing or to otherwise tailor the final internal shape. It should be noted that MOFs of this diameter are not very flexible but that they can be bent, at least with the aid of some heat softening. The choice of polymer is very important because dielectric loss at THz frequencies is generally large. The lowest loss materials of choice are currently cyclic olefins, such as those with the trade names Zeonex and Topas, which have absorption coefficients of order  $0.2 \text{ cm}^{-1}$  (87 dB/m) at 1 THz [90,91]. Other choices, but with order of magnitude higher absorption [92], are HDPE and PTFE.

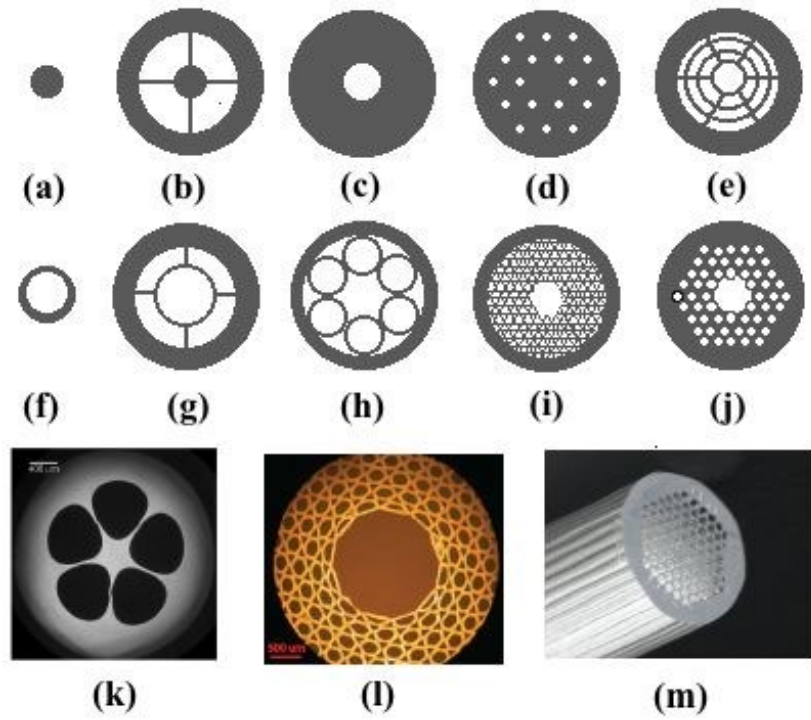


Fig. 16: Examples of some possible fiber designs. The first row shows guides relying on TIR: (a) subwavelength fiber, (b) suspended core, (c) low index discontinuity guide, (d) solid core fiber with low index photonic crystal cladding (e) porous core fiber with sub-wavelength holes. White represents air and gray represents dielectric. The second row shows air core fibers which do not guide by TIR: (f) thin wall tube guide (g,h) two additional air core ARROW guide designs, (i) a kagome style fiber and (j) a photonic band gap fiber. The third row shows some real polymer fibers designed for THz operation: (k) suspended core [90], (l) kagome type [108] and (m) solid core with low index cladding. (k) reproduced with permission from [90]. Copyright 2011 Optical Society of America. (l) reproduced with permission from [108]. Copyright 2011 Optical Society of America. (m) reproduced from [91]. Copyright 2009 Optical Society of America

A large variety of fiber geometries have now been explored by various groups. These can be broadly divided into three classes according to the guidance mechanism: (a) those relying on TIR and which therefore support true guided modes, (b) those which guide by grazing incidence reflection in hollow cores and therefore support only leaky modes and (c) those which guide by means of a photonic bandgap. Figure 16 shows schematic examples of guides of these different types which we now describe in more detail, starting with those based on TIR.

In addition to the simple sub-wavelength diameter solid fiber mentioned earlier (figure 16a), there are several other THz dielectric guide designs relying on TIR and these are shown in the top row of figure 16. One simple variation [90] is to suspend a wavelength-scale solid core on struts inside a jacket to allow handling with minimal effect on guidance (figures 16b and

16k). Another approach is to use a thick walled tube with a wavelength scale diameter hollow core known as a low-index discontinuity guide [93] (figure 16c). Here, the bulk of the wave resides in the core, thus reducing attenuation and dispersion, but TIR at the outer dielectric boundary confines the guided mode.

A further variation is to take a solid core fibre and surround it by a porous, low index cladding threaded by sub-wavelength diameter air holes (figure 16d). The guide in figure 16a can be thought of as an extreme case of that in figure 16d. The porous cladding guide is similar to a conventional step index fiber but with a much larger difference in effective refractive index between core and cladding and has been intensively studied in near infrared MOFs [94]. Single mode operation is made easier by the larger step in effective index and can be ensured by appropriate choice of the ratio of hole diameter to pitch. The first example of this type of structure at THz frequencies was described by Han et al [95]. A more refined version was later reported by Nielsen et al [91] (figure 16m). The latter authors reported less than 10 dB/m loss at 0.6 THz in single mode Topas fibers, which is 5 times smaller than the bulk material loss at the same frequency. Some reduction in loss is expected because a fraction of the guided mode resides in air although the reason why the loss was so much lower was not clear.

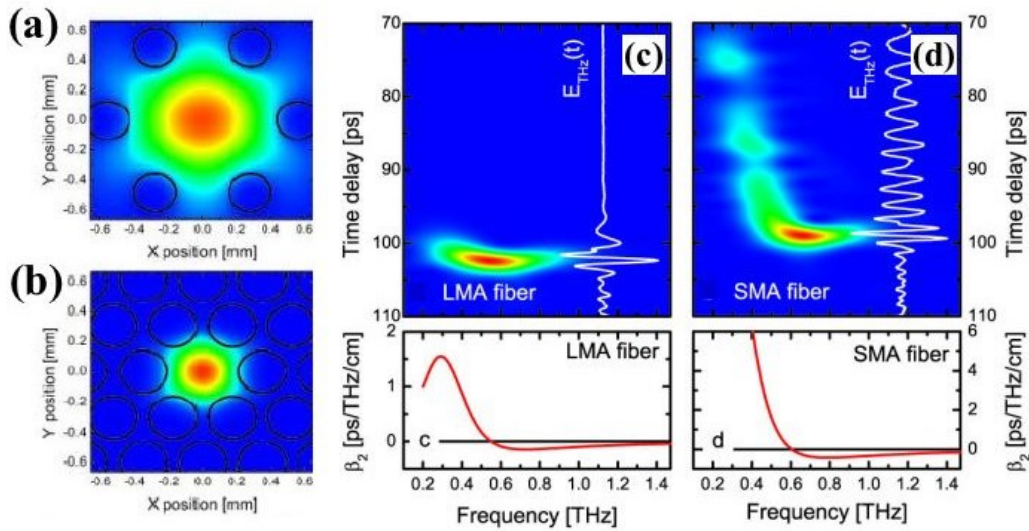


Fig. 17 (a),(b) Calculated guided mode structure at 1 THz for a large and small mode area fibers (LMA, SMA) having different hole pitches. (c),(d) Time domain signals and intensity maps showing spectral amplitude versus time after propagation along a 29 mm length of LMA and a 26 mm length of SMA fiber. The bottom panels show the calculated GVD. The larger GVD below 0.5 THz for the SMA gives rise to larger pulse broadening and chirp. Reproduced with permission from [91]. Copyright 2009 Optical Society of America. (colour online)

Varying the cladding porosity by changing the hole spacing gives control of the mode area and waveguide dispersion, as shown in figure 17. The GVD values shown in figure 17c are similar to those obtained in the suspended core design of Anthony et al [90] which has a comparable mode area. At long wavelengths the behaviour of porous cladding structures tends to that of porous fibers in which there is no distinction between core and cladding (figure 16e) and which provide yet another TIR approach [96,97]. For the same attenuation, porous fibres provide stronger confinement (smaller mode area) than solid core fibers [97] but weaker confinement than low index cladding structures.

The air core fibers shown in figures 16f to 16i support only leaky core modes which couple to transverse radiation modes via leaky modes in the cladding. Guidance relies on the high reflectivity at an air-dielectric boundary for grazing incidence light. The incident angle range is of order  $\lambda/4a$  where  $a$  is the core radius and the core diameter must be larger than 1 or 2 mm at 1 THz for reasonably high reflectivity. The simplest example of a leaky guide is the thin wall tube (figure 16f). This was theoretically discussed as a THz guide by Miyagi and Nishida as long ago as 1980 [98] and later explored experimentally in commercially available PTFE tubes by Lai et al [99]. The latter authors reported an attenuation as low as 0.4 dB/m for a 9 mm diameter, 0.5 mm wall thickness tube near 0.4 THz. The minimum attenuation is proportional to  $\lambda^2/a^3$  if the walls thickness and material absorption are sufficiently large and to  $\lambda^3/a^4$  in the opposite limit relevant to their work [100]. Scaling the result to a more practical diameter of 2 mm thus gives a much higher attenuation of  $\sim 26$  dB/m. Dielectric tube guides are fundamentally multimode but leakage loss increases strongly with mode order so that propagation of just the fundamental  $HE_{11}$  mode can be arranged by suitable choice of diameter and length, and the dispersion is relatively low because of the large air fraction. The guiding mechanism in this type of leaky guide is known as anti-resonant reflection optical waveguiding (ARROW) [101] because it also depends on avoiding resonant transmission through the core walls which can be considered as Fabry-Perot cavities. The Fabry-Perot resonances thus define high loss bands, between which anti-resonant guiding takes place. The  $m^{th}$  resonant frequency is given by

$$f_m = \frac{mc}{2t\sqrt{n_{clad}^2 - n_{core}^2}} \quad [8]$$

where  $t$  is the wall thickness. To achieve a large bandwidth for transmission, thin walls are preferable but the thickness cannot be so small that the desired frequency range is

significantly below  $f_l$  because guiding eventually fails with diminishing frequency as the grazing incidence approximation breaks down and the reflectivity becomes small.

Other possible ARROW designs, which facilitate fabrication of thinner core walls whilst maintaining fiber robustness, are shown in figures 16g and 16h. In these structures the core is separated from the outer jacket by a single ring of struts or tubes which we call the ‘cladding’. Interference between reflections at the inner and outer cladding boundaries leads to a requirement that the cladding thickness is approximately equal to  $0.65a$  for minimum leakage [102]. Adding a second ring of tubes can reduce leakage a little more but adding even more rings has negligible effect. The design in figure 16g is being developed for the medium wave infrared [103] and has intrinsically higher attenuation than those based on TIR or bandgap guiding if material loss is neglected. However, when material loss is large, such as is the case at mid infrared and THz frequencies, then the ARROW type might be competitive. For example, calculations for a structure similar to that in figure 16h and consisting of a ring of twelve 1.4 mm diameter PTFE tubes with 44  $\mu\text{m}$  thick walls encircling a 4.2 mm diameter core suggest that a loss as low as 0.1 dB/m in the fundamental  $\text{HE}_{11}$ -like mode should be possible over a 1 THz range around 2 THz [104]. This is promisingly better than so far obtained using dielectric coated metal tubes or TIR guiding in MOFs when scaled to similar mode area but more work is needed on this type of guide to confirm its potential. There are also some subtleties which might be exploited to increase performance further. For example, the role of curvature of the core walls appears to be important; folding of the core boundary compresses the mode area and reduces  $\beta$ , thus weakening core-cladding coupling [105].

The air core structure in figure 16g was originally introduced in the near infrared as a simpler version of the kagome (‘basket weave’) fibre [106] sketched in figure 16i, where all but a single ring of tubes around the core are removed. The first silica kagome fiber [106] had a cladding lattice formed by a large number of rings of thin walled hexagonal tubes. The precise cladding structure and periodicity are effectively unrelated to the guiding mechanism in the sense that there is no photonic bandgap at the guiding frequencies. Guidance is dependent on the ARROW mechanism, with coupling of the core mode to modes in the cladding inhibited by a large phase mismatch and a low density of cladding states [106]. Experiments on THz versions of the kagome fiber with the hexagonal tubes replaced by circular ones were reported by Lu et al [107] and Anthony et al [108] (Figure 16l). In Lu et al’s structure, which had a 5 mm diameter core, the attenuation was  $\sim 1$  dB/m at 0.5 THz whilst in Anthony et al’s work,

the core diameter was 2.2 mm and the measured and calculated attenuation was much higher with a value of  $\sim 80$  dB/m near 1 THz. It is important to understand whether the large difference simply reflect the different structural parameters such as core diameter and tube wall thickness and therefore to what extent low attenuation can be engineered.

Except near wall resonances (equation 8), the waveguide dispersion of the kagome fiber [109] and other ARROW type structures can be described by a similar expression to that for a thick walled air filled tube given by [110]

$$\beta = \frac{2\pi}{\lambda} \left[ 1 - \frac{1}{2} \left( \frac{u_{11}\lambda}{2\pi a} \right)^2 \right]. \quad [9]$$

Equation 9 looks like the  $ka \gg 1$  limit of equation 1, except one has to be aware that leaky modes have no cut-offs. The GVD in hollow dielectric MOFs is therefore similar to that of dielectric lined metal tubes of the same diameter. For  $a=1$  mm equation 9 predicts a GVD of -0.07 ps/THz/cm which is consistent with measurements by Anthony et al [108] and similar to that calculated for the solid core MOF in figure 17 [92].

Finally, to end this section, we point out that true guided modes can be engineered in hollow core MOFs by constructing the cladding as a Bragg mirror of alternating high and low index regions to form what are known as bandgap guiding fibers (figure 16j). Low loss photonic bandgap guiding is extremely effective in silica fibers made for the near infrared but at THz frequencies the bandwidth is limited compared with some other designs and material loss is relatively large. For example, Ponseca et al [111] constructed a 5 ring bandgap guiding MOF in PMMA and obtained a minimum loss of  $\sim 90$  dB/m for a core diameter of 0.67 mm which is rather close to the expected material loss, perhaps suggesting that the bandgap guidance is weak with so few rings.

## 7. Conclusions and outlook

There is now a large body of work exploring waveguide concepts at THz frequencies with potential applications ranging from power delivery to sensing and near field imaging. Some of these already exhibit acceptable enough properties for immediate exploitation. Ones that stands out in this respect are the very low loss metal-dielectric lined tube which is being actively explored for delivery of narrow band QCL radiation and the latest generation of planar transmission lines which have bandwidths and frequency selectivity suitable for



biosensing. Metamaterial concepts might also prove useful in the latter application. Polymer microstructured fibers have similar potential to the dielectric lined tube. In particular, the solid core fiber with porous cladding offers single mode operation with small mode size whilst the large mode area, hollow core fiber should in principle be capable of competitively low loss.

Thinking further into the future, a technology that it would be useful to develop is that needed to construct high index contrast, multilayer THz mirrors with high reflectivity for all incident angles and polarizations [112]. Such mirrors could be used for the construction of omniguide [113] which could have advantages over the guides reviewed above in narrowband applications. From a more fundamental perspective, it is possible that further development of guiding concepts such as adiabatic tapering and canalization, together with improvements in THz source intensities and detector sensitivities, might one day allow the efficient concentration and detection of THz radiation on sub-micron length scales and thus open up a new era of nanoscale terahertz science. Such developments might well be facilitated by new 3D metamaterials such as the recently reported polymer-metal composite fibers with plasmonic and magnetic response [114,115] and graphene-dielectric stacks [116]. The rather extreme bandwidth and low dispersion required for guidance of the broadband THz radiation used in time domain THz spectroscopy remains elusive in all but the parallel plate waveguide and its variants, but new concepts or technologies might appear that could address this issue or at least render it less important. For example, the development of widely tunable cascade or other types of THz laser could displace the time domain technique in some applications. Whatever the future holds, it is clear that the subject of terahertz guiding will continue to throw up challenges and solutions and reveal new insights into our ability to manipulate light.

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